

**CUSTOMER ACKNOWLEDGEMENT AND CONSENT AND DECLARATION BY STAFF**

**客戶確認及承諾 及 職員聲明**

**CUSTOMER ACKNOWLEDGEMENT AND CONSENT**

**客戶確認及承諾**

I/We acknowledge receipt of the Standard Terms and Conditions of Suncorp Securities Limited 本人 (等) 確認已收取了新確證券有限公司之標準章程。

I/We have read and fully understood the contents of this Account Application and the Client Agreement and agreed to be bound by them.

本人 (等) 已經閱讀並完全明白開戶申請表及客戶協議之內容，並同意受其等所約束。

I/We confirm that the Risk Disclosure Statements, and, if applicable, Risk Disclosure Information, in or accompanying the Client Agreement have been provided in a language of my choice (English or Chinese) and that I/We have read and accepted the nature and contents thereof. I/We appreciate that they are not and cannot be taken as a comprehensive or exhaustive list of all possible risks. I/We confirm that I/We have been given the opportunity to ask questions and seek independent advice if I/We so wish.

本人 (等) 確認載或附於客戶協議之風險披露聲明已按本人選擇之語言 (英文或中文) 提供予本人，及本人 (等) 已閱讀、瞭解和接受其 / 其等 (若適用) 性質和內容。本人 (等) 明白這不是而且不能被當為一份完全或徹底列舉所有潛在風險的清單。此外，本人 (等) 已被給予機會提出問題及徵求獨立的意見 (若本人 (等) 有此意願)。

**Declaration by Staff 職員聲明**

I, a licensed or registered person, hereby declare that I have provided the Client with a copy of the Risk Disclosure Statements and the Risk Disclosure Statements in the Internet and Mobile Securities Trading Agreement (if applicable) in a language of the Client's choice (English or Chinese).

本人，以持牌人或註冊人身份，確認本人已按照下方簽署客戶所選擇的語言 (英文或中文) 提供風險披露聲明及互聯網及流動證券交易協議所載之風險披露聲明 (如適用)。

I have invited the Client to read the Risk Disclosure Statements referred to the Cash Client Agreement, and the Risk Disclosure Statements in the Internet and Mobile Securities Trading Agreement (if applicable). 本人已提示客戶閱讀現金客戶協議及 / 或保證金客戶協議內之風險披露聲明及互聯網及流動證券交易協議所載之風險披露聲明 (如適用)。

I have invited the Client to read the Risk Disclosure Statements in relation to the trading of Growth Enterprise Market stocks and derivative products and explained the relevant risks associated with the derivative products to the Client.

本人已提示客戶閱讀風險披露聲明內有關買賣創業板股份及衍生產品的條款，並已解釋衍生產品所附帶的相關風險。I have encouraged the Client to ask questions and seek independent advice if the Client so wishes.

本人已鼓勵客戶有需要可以提出問題及徵求獨立的意見。

**Applicant's Signature 申請人簽署**



**Co-Applicant's Signature (if any) 聯名帳戶第二申請人簽署 (如適用)**



Date 日期:

Date 日期:

**Licensed or Registered Person Signature**

持牌人或註冊人簽署

**Name of Licensed or Registered Person**

持牌人或註冊人姓名

CE No. 中央編號: \_\_\_\_\_

Date 日期: \_\_\_\_\_

Accepted and acknowledged by: For and on behalf of Suncorp Securities Limited

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Name of AE 客戶主任姓名: \_\_\_\_\_

Years Client Known to AE

客戶與經紀相識年期: \_\_\_\_\_

Brokerage 佣金: \_\_\_\_\_ Min. 最低佣金: \_\_\_\_\_

Credit Limit 信貸額: \_\_\_\_\_

Introduced by 介紹人: \_\_\_\_\_

Interest Rate 利率: \_\_\_\_\_

Documentation Checked by 批准: \_\_\_\_\_

文件查核: \_\_\_\_\_ 批准: \_\_\_\_\_

Bank and Credit References Obtained

已獲銀行及信貸參考: \_\_\_\_\_

**Authorized Director(s)/Responsible Officer(s) Signature with Company Chop**  
獲授權董事 / 負責人簽署及公司印章

Date 日期: \_\_\_\_\_