

**聲明**

本人／吾等特此確認並聲明：

1. 就本人／吾等於本聲明的日期與新確證券開立的證券交易戶口，本人 /吾等：  
☐ 是作為中介人持有  
☐ **不是**作為中介人持有
2. 《根據證券及期貨條例》或《證券及期貨(專業投資者)規則》所載的定義，本人 /吾等為：  
☐ 個人專業投資者  
☐ 法團專業投資者  
☐ 機構專業投資者
3. 新確證券已將有關專業投資者的註釋和說明(「註釋和說明」)提供予本人／吾等，本人／吾等完全明白及接受該註釋和說明的內容。對於本人／吾等被視為專業投資者一事的後果、以及本人／吾等享有隨時撤回被視為專業投資者的權利，本人／吾等已獲得詳盡的說明。
4. 除非及直至新確證券收到本人／吾等書面撤銷通知，本人／吾等希望及同意被視為專業投資者。



客戶簽署: \_\_\_\_\_

客戶名稱: \_\_\_\_\_

日期: \_\_\_\_\_

**Declaration**

I/We hereby confirm and declare that:

1. I/We am/are:

- ☐ acting as an intermediary  
☐ NOT acting as an intermediary

For the securities trading account ("the Account") that I/We open with you as at the date of this declaration.

2. I/We am/are classified as a

- ☐ an Individual Professional Investor  
☐ a Corporate Professional Investor  
☐ an Institutional Professional Investor

Pursuant to Securities and Futures Ordinance or Securities and Futures (Professional Investor) Rules.

3. The attached Notes and Explanation Relating to the Professional Investors ("the Notes") have been provided to me/us. I/We fully understand and accept the contents of the Notes. I/We am/are fully explained of the consequences of being treated as a Professional Investor and my/our right to withdraw from being treated as a Professional Investor at any time.

4. I/We wish and agree to be treated as a Professional Investor unless and until you have received a written revocation notice from me/us.



Client's Authorized Signature(s): \_\_\_\_\_

Name of Client: \_\_\_\_\_

Date: \_\_\_\_\_